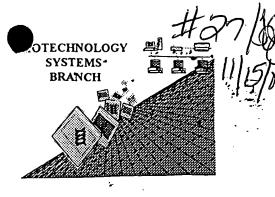
RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: ___6

09/042 488

2 2001

Source:

OIPE.

ECH CENTER 1600/2000

Date Processed by STIC:

09/18/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS. PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 c-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 c-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

RECEIVED

NOV 1 3 2001 TECH CENTER 1600/2900

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: 09/042 488 · · ·
ATTN: NEW RULES CASE	s: Please disregard englisii "	ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWA:
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line was retrieved in a word processor after prevent "wrapping."	"wrapped" down to the next line. This may occur if your file creating it. Please adjust your right margin to .3; this will
2Invalid Line Length	The rules require that a line not exceed	72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino a use space characters, instead.	cid is misaligned. Do not use tab codes between numbers;
4Non-ASCII	The submitted file was not saved in Alensure your subsequent submission is	SCII(DOS) text, as required by the Sequence Rules. Please as saved in ASCII text.
5Variable Length.	each mor Yea can only represent a s	epresenting more than one residue. Per Sequence Rules, ngle residue. Please present the maximum number of each cate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	sequences(s) Normally	sed the <220> <223> section to be missing from amino acid Patentln would automatically generate this section from the Please manually copy the relevant <220> <223> section to This applies to the mandatory <220> <223> sections for
7Skipped Sequences (OLD RULES)	(2) INFORMATION FOR SEQ ID NO	nal, please insert the following lines for each skipped sequence: :X: (insert SEQ ID NO where "X" is shown) RISTICS: (Do not insert any subheadings under this heading) ID NO:X: (insert SEQ ID NO where "X" is shown)
,	Please also adjust the "(ii) NUMBER (OF SEQUENCES:" response to Include the skipped sequences.
8 Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intent <210> sequence id number <400> sequence id number 000	lonal, please insert the following lines for each skipped sequence.
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been deter Per 1.823 of Sequence Rules, use of Sin <220> to <223> section, please expl	cted in the Sequence Listing. 20>-<223> is MANDATORY if n's or Xaa's are present. ain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only scientific name (Genus/species). <220 is Artificial Sequence	valid <213> responses are: Unknown, Artificial Sequence, or >-<223> section is required when <213> response is Unknown or
11Use of <220>	Use of <220> to <223> is MANDATO	10> "Feature" and associated numeric identifiers and responses. RY if <213> "Organism" response is "Artificial Sequence" or genetic material in <220> to <223> section. Yol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
12PatentIn 2.0 "bug"	resulting in missing mandatory numeri	ion of PatentIn version 2.0. This causes a corrupted file, c identifiers and responses (as indicated on raw sequence ager" or any other manual means to copy file to floppy disk.
13Misuse of n	n can only be used to represent a single	nucleotide in a nucleic acid sequence. N is not used to represent

AMC/MH - Biotechnology Systems Branch - 08/21/2001

The type of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.

DATE: 09/18/2001

TIME: 10:29:45

OIPE

```
Input Set : A:\Sa1520-2.app
                     Output Set: N:\CRF3\09182001\I042488.raw
      3 <110> APPLICANT: EVANS, RONALD M.
         NO, DAVID
             SAEZ, ENRIQUE
      7 <120> TITLE OF INVENTION: METHODS FOR MODULATING EXPRESSION OF EXOGENOUS GENES IN
             MAMMALIAN SYSTEMS, AND PRODUCTS REALTED THERETO
    10 <130> FILE REFERENCE: SALK1520-2
     12 <140> CURRENT APPLICATION NUMBER: 09/042,488
C--> 13 <141> CURRENT FILING DATE: 2001-08-29
     15 <150> PRIOR APPLICATION NUMBER: 08/974,530
    16 <151> PRIOR FILING DATE: 1997-11-19
    18 <150> PRIOR APPLICATION NUMBER: 08/628,830
                                                                     Does Not Comply
    19 <151> PRIOR FILING DATE: 1996-04-05
                                                                Corrected Diskette Needed
    21 <160> NUMBER OF SEQ ID NOS: 18
    23 <170> SOFTWARE: PatentIn Ver. 2.1
     25 <210> SEO ID NO: 1
     26 <211> LENGTH: 71
     27 <212> TYPE: PRT
     28 <213> ORGANISM: Artificial Sequence
     30 <220> FEATURE:
     31 <223> OTHER INFORMATION: Description of Artificial Sequence: Consensus;
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     45 <221> NAME/KEY: MOD_RES
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     47 <223> OTHER INFORMATION: Any amino acid
     49 <220> FEATURE:
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     51 <222> LOCATION: (10)
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/042,488

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/042,488 DATE: 09/18/2001 TIME: 10:29:45

Input Set : A:\Sa1520-2.app

Output Set: N:\CRF3\09182001\I042488.raw

- 66 <222> LOCATION: (19)..(20)
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- 74 <220> FEATURE:
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- 76 <222> LOCATION: (26)
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- 89 <220> FEATURE:
- 90 <221> NAME/KEY: MOD_RES
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- 92 <223> OTHER INFORMATION: Any amino acid
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- 95 <221> NAME/KEY: MOD_RES
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- 97 <223> OTHER INFORMATION: Amny amino acid
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- 100 <221> NAME/KEY: MOD_RES
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- 102 <223> OTHER INFORMATION: Any amino acid
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- . 112 <223> OTHER INFORMATION: Any amino acid
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 - 117 <223> OTHER INFORMATION: Any amino acid
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- 10 15/ W--> 123 Xaa Cys Xaa Xaa Cys Lys Xaa Phe Phe Xaa Arg Xaa Xaa Xaa Xaa Xaa Xaa / 5
- 124 / / /20 / V V 30/ V

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/042,488

DATE: 09/18/2001 TIME: 10:29:46

Input Set : A:\Sa1520-2.app Output Set: N:\CRF3\0.91820.01\I042488.raw W--> 129 Xaa Xaa Xaa Lys Xaa Xaa Arg Xaa Xaa Cys Xaa Xaa Cys Arg Xaa Xaa // / 55 130 50 W--> 132 Lys Cys Xaa Xaa Xaa Gly Met 133 65 136 <210> SEQ ID NO: 2 137 <211> LENGTH: 5 138 <212> TYPE: PRT 139 <213> ORGANISM: Artificial Sequence 141 <220> FEATURE: 142 <223> OTHER INFORMATION: Description of Artificial Sequence: Synthetic peptide) 143 145 <400> SEQUENCE: 2 5 prothetie Paptide is not a sufficient response to describe or explain your artificial sequence 146 Glu Gly Cys Lys Gly 147 1 150 <210> SEQ ID NO: 151 <211> LENGTH: 5 152 <212> TYPE: PRT 153 <213> ORGANISM: Artificial Sequence 155 <220> FEATURE: 156 <223> OTHER INFORMATION: Description of Artificial Sequence: Synthetic peptide > 159 <400> (SEQUENCE: 3 160 Gly Ser Cys Lys Val 161 1 164 <210> SEQ ID NO: 4 165 <211> LENGTH: 2241 166 <212> TYPE: DNA 167 <213> ORGANISM: Artificial Sequence 169 <220> FEATURE: 170 <223> OTHER INFORMATION: Description of Artificial Sequence: Recombinant ${m
u}$ VaEcR 173 <220> FEATURE: 174 <221> NAME/KEY: CDS 175 <222> LOCATION: (1)..(2238) 177 <400> SEQUENCE: 4 178 atg gcc ccc ccg acc gat gtc agc ctg ggg gac gag ctc cac tta gac 48 179 Met Ala Pro Pro Thr Asp Val Ser Leu Gly Asp Glu Leu His Leu Asp 180 . 1 5 182 ggc gag gac gtg gcg atg gcg cat gcc gac gcg cta gac gat ttc gat 96 183 Gly Glu Asp Val Ala Met Ala His Ala Asp Ala Leu Asp Asp Phe Asp 186 ctg gac atg ttg ggg gac ggg gat tcc ccg ggt ccg gga ttt acc ccc 144 187 Leu Asp Met Leu Gly Asp Gly Asp Ser Pro Gly Pro Gly Phe Thr Pro 40 190 cac gac tcc gcc ccc tac ggc gct ctg gat atg gcc gac ttc gag ttt 192 191 His Asp Ser Ala Pro Tyr Gly Ala Leu Asp Met Ala Asp Phe Glu Phe 50 55 192 194 gag cag atg ttt acc gat gcc ctt gga att gac gag tac ggt ggg aag 240

195 Glu Gln Met Phe Thr Asp Ala Leu Gly Ile Asp Glu Tyr Gly Gly Lys

RAW SEQUENCE LISTING DATE: 09/18/2001 PATENT APPLICATION: US/09/042,488 TIME: 10:29:46

Input Set : A:\Sa1520-2.app

Output Set: N:\CRF3\09182001\I042488.raw

196	65					70					75					80	
198	ctt	cta	ggt	acc	tct	aga	agg	ata	tcg	aat	tct	ata	tct	tca	ggt	cgc	288
					Ser												
200			_		85	_	_			90					95		
202	gat	gat	ctc	tcg	cct	tcg	agc	agc	ttg	aac	gga	tac	tcg	gcg	aac	gaa	336
	_	_		_	Pro												
204	-	-		100					105		_	_		110			
206	agc	tac	gat	qcq	aag	aaq	agc	aaq	aaq	qqa	cct	qcq	cca	cgg	gtg	caa	384
					Lys												
208		-	115		•	•		120	_	-			125	-			
210	qaq	qaq	ctq	tqc	ctg	gtt	tqc	qqc	gac	agg	gcc	tcc	ggc	tac	cac	tac	432
			_	_	Leu	-	_		_								
212		130		-			135	-	-	_		140	_	_		_	
	aac		ctc	acc	tgt	qqa	tcc	tgc	aaq	gtg	ttc	ttt	cga	cgc	agc	gtt	480
					Cys												
	145				•	150		-	-		155		_	_		160	
		aaσ	agc	qcc	gtc	tac	tqc	tqc	aaq	ttc	qqq	cgc	gcc	tgc	gaa	atg	528
					Val												
220					165	-	-	-	•	170	-	_		-	175		
	gac	atσ	tac	atq	agg	cqa	aaq	tat	caq	qaq	tqc	cqc	ctq	aaa	aag	tgc	576
					Arg												
224			4	180	,	,	-	_	185		_			190	<u>-</u> ,	_	
	cta	αcc	ata	aat	atg	caa	cca	σaa	tac	atc	qtc	ccq	qaq	aac	caa	tqt	624
					Met												
228			195	1		5		200	- 1 -				205			-	
	aca	atσ		caa	cgc	qaa	aaq	aaq	qcc	caq	aaq	qaq	aaq	gac	aaa	atg	672
					Arg												
232		210		3	3		215	-			•	220	•	-	_		
	acc		tca	cca	agc	tct	caq	cat	qqc	qqc	aat	qqc	aqc	ttq	qcc	tct	720
					Ser												
	225					230			-	_	235	-				240	
		aac	aac	caa	gac	ttt	qtt	aaq	aaq	qaq	att	ctt	qac	ctt	atq	aca	768
					Asp												
240	-	•	•		245			-	-	250			_		255		
242	tgc	qaq	ccg	ccc	cag	cat	gcc	act	att	ccg.	cta	cta	cct	gat	gaa	ata	816
					Gln												
244	-			260					265					270			
246	ttq	qcc	aaq	tqt	caa	qcq	cqc	aat	ata	cct	tcc	tta	acg	tac	aat	cag	864
					Gln												
248			275				-	280					285				
	tta	qcc	qtt	ata	tac	aaq	tta	att	tqq	tac	caq	gat	ggc	tat	gag	cag	912
	_	-	-		Tyr	_											
252		290			-	-	295		_	_		300	_	_			
	cca	tct	qaa	gag	gat	ctc	agg	cqt	ata	atg	agt	caa	ccc	gat	gag	aac	960
			-		Asp			_									
	305				-	310	-				315			-		320	
		agc	caa	acq	gac	gtc	agc	ttt	cgg	cat	ata	acc	gag	ata	acc	ata	1008
		_		_	Asp	_	-										
260					325				_	330					335		

RAW SEQUENCE LISTING DATE: 09/18/2001 PATENT APPLICATION: US/09/042,488 TIME: 10:29:46

Input Set : A:\Sa1520-2.app

Output Set: N:\CRF3\09182001\I042488.raw

		_	_	_	-	att Ile											1056
264				340					345					350			
						gag											1104
267	Thr	Lys	Ile	Pro	Gln	Glu	Asp	Gln	Ile	Thr	Leu	Leu	Lys	Ala	Cys	Ser	
268			355					360					365				
270	tcg	gag	gtg	atg	atg	ctg	cgt	atg	gca	cga	cgc	tat	gac	cac	agc	tcg	1152
271	Ser	Glu	Val	Met	Met	Leu	Arg	Met	Ala	Arg	Arg	Tyr	Asp	His	Ser	Ser	
272		370					375					380					
274	gac	tca	ata	ttc	ttc	gcg	aat	aat	aga	tca	tat	acg	cgg	gat	tct	tac	1200
						Ala											
	385					390			-		395					400	
278	aaa	atg	qcc	qqa	atq	gct	gat	aac	att	gaa	gac	ctg	ctg	cat	ttc	tgc	1248
						Āla											
280	-1-			1	405					410	-				415	-	
	cac	caa	atσ	ttc		atg	aaσ	ata	σac	aac	atc	qaa	tac	qcq	ctt	ctc	1296
						Met											
284	5			420			-1 -		425					430			
	act	qcc	att		atc	ttc	tcq	qac	cqq	ccq	qqc	ctq	gag	aag	gcc	caa	1344
						Phe											
288			435					440	5		2		445	- 4			
	cta	atc		aca	atc	cag	aσc		tac	atc	gac	acq	cta	cac	att	tat	1392
		_	_			Gln											
292		450					455	-1-	-1-			460		5		- 4	
			aac	cac	cac	tgc		gac	tica	atσ	agc		atc	ttc	tac	αca	1440
						Cys											
	465	200	11011	**** 9		470	υ -1				475				-1-	480	
		cta	ctc	tca	atc	ctc	acc	σασ	cta	cat		cta	aac	aac	caq		1488
						Leu											
300	בענג	шси	пси	JCI	485	БСС	1111	Olu	пса	490		Leu	011		495		
	acc	a a a	ata	+~+		tca	ct a	220	ctc		220	cac	222	cta		aan	1536
						Ser											1330
304	на	. Gru	Mec	500	FIIC	261	Пеп	цуз	505	шуз	HOII	AI 9	шуз	510	110	цуБ	
	++-	a+ a	~~~		2+4	tgg	a - a	a++		aaa	ato	cca.	003		ata	cac	1584
						Trp	-	-		-		_		_	-		1304
307	FILE	ьеu	515	GIU	116	пъ	HSD	520	urs	ніа	116	FIU	525	SCT.	Val	GLII	
	+ ~~	42.0		a > a	a++	200	a a.a		~~~	220	a a a	cat		a a a	aaa	act	1632
						acc											1032
	ser	530	Leu	GIN	тте	Thr	535	GIU	GIU	ASII	GIU	540	ьeu	GIU	Arg	Ald	
312			a + ~	~~~	~~~	+		~~~	~~~	~~~	~++		~~~	~~~	-++	ast.	1690
						tcg											1680
		Arg	Met	Arg	Ата	Ser	vaı	GTĀ	GIY	Ата	555	THE	Ата	GIY	me	560	
	545					550	.					~~~			+		1720
						act											1728
	Cys	Asp	ser	Ата		Thr	ser	Ата	Ala		Ald	Ald	ATA	GIII		GIII	
320					565					570	.	a+			575	~~±	1776
						cag											1776
	PIO	GIN	Pro		PLO	Gln	PIO	GIN		ser	ser	ьeu	Tnr		ASN	Asp	
324				580			~~~		585		+		a+-	590			1004
326	tcc	cag	cac	cag	aca	cag	ccg	cag	cta	caa	CCT	cag	cta	cca	CCT	cag	1824

VERIFICATION SUMMARY

DATE: 09/18/2001

PATENT APPLICATION: US/09/042,488

TIME: 10:29:47

Input Set : A:\Sa1520-2.app

Output Set: N:\CRF3\09182001\I042488.raw

L:13 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:120 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1

L:123 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1

L:126 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1

L:129 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1

L:132 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1

L:1387 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10

L:1417 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 L:1435 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12

L:1465 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13

Kaushal, Sumesh

From:

Wesner-Early, Caryn

Sent:

Tuesday, September 18, 2001 03:16 PM

To:

Kaushal, Sumesh

Subject:

RE: Re: 09/042,488

alec contented Stee Renter

Examiner Kaushal -

Do you know if a CRF is coming? If the applicant hasn't sent the information, your docket clerk needs to see if new disks were sent in, and if not, we should go ahead and cancel this search request. You can always put in a new request when the CRF becomes available.

Caryn S. Wesner-Early, MSLS **Technical Information Specialist** Biotechnology and Chemical Library U.S. Patent and Trademark Office

Phone: (703) 308-4501 Fax: (703) 308-4496 caryn.wesner@uspto.gov

> ----Original Message----From:

Kaushal, Sumesh

Sent:

Tuesday, September 18, 2001 2:12 PM

To: Subject: Wesner-Early, Caryn FW: Re: 09/042,488

Please hold the search till STC forward CRF thanks -sumesh

--Original Message---

From:

Spencer, Mark Tuesday, September 18, 2001 01:56 PM

Sent:

Kaushal, Sumesh

To: Subject:

RE: Re: 09/042,488

The STIC has not been forwarded a CRF for this SN at this time.

Mark

-----Original Message-----

Kaushal, Sumesh From:

Friday, September 14, 2001 10:27 AM Sent:

Spencer, Mark To:

Subject: FW: Re: 09/042,488

Please note, the SEQ disk for 09/042,488 was recieved on 08/29/01.

Please let me know when STIC would be able to use the SEQ-database for search.

Thanks

Sumesh Kaushal

CM1 12A07 AU1633

Ph: 703-305-6838

----Original Message----

ì

From: Wesner-Early, Caryn

Sent: Friday, September 14, 2001 10:18 AM

To: Kaushal, Sumesh Subject: Re: 09/042,488

Examiner Kaushal -

We are unable to process the search request for SN 09/042,488 because there is a problem with the CRF data for this case. If there is a related case that should be used, please let us know. We cannot process this request until valid data is available. Please contact me directly on this - I will hold onto your request until I hear from you. Thanks.

Caryn S. Wesner-Early, MSLS Technical Information Specialist Biotechnology and Chemical Library U.S. Patent and Trademark Office Phone: (703) 308-4501 Fax: (703) 308-4496 caryn.wesner@uspto.gov